

# DUE DILIGENCE PROCESS



## Planning

### How Do We Build Recommendations?

1. Advisor reviews options
2. Advisor develops recommendations
3. Team reviews and challenges recommendations

## Solutions

### Strategy Evaluation

1. Evaluate performance, alignment with client goals, and risk
2. Assess risk methods and diversification
3. Proactively adapt strategies for market changes and client needs

## Admin Services

### Administrative Services

At RFG, we offer a wide array of client services outlined in our Financial Planning Engagement form

## Costs

### Associated Costs

1. Program Fees and/or Commissions
2. Platform Fees
3. Other Fees

## Final Recommendation